

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Subsidiary of Foreign Company
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	SBFC Holdings Pte. Ltd.		Holding	73.25
2	SBFC HOME FINANCE PRIVATE	U65992MH2022PTC394642	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	1,300,000,000	897,023,682	897,023,682	897,023,682
Total amount of equity shares (in Rupees)	13,000,000,000	8,970,236,820	8,970,236,820	8,970,236,820

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Share				
Number of equity shares	1,300,000,000	897,023,682	897,023,682	897,023,682
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	13,000,000,000	8,970,236,820	8,970,236,820	8,970,236,820

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)	0	0		

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	834,523,682	834523682	8,345,236,820	8,146,763,200	
Increase during the year	0	62,500,000	62500000	625,000,000	823,473,220	1,984,894,900
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0

iv. Private Placement/ Preferential allotment	0	62,500,000	62500000	625,000,000	625,000,000	1,875,000,000
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify					198,473,221	109,894,914
Conversion of partly paid shares to fully paid shares						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	897,023,682	897023682	8,970,236,800	8,970,236,800	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE423Y01016

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting			
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) * Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	430	1000000	430,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			430,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	430,000,000	0	0	430,000,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

7,328,115,693

(ii) Net worth of the Company

16,867,384,794

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	833,525,282	92.92	0	
10.	Others	0	0	0	
	Total	833,525,282	92.92	0	0

Total number of shareholders (promoters)

4

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	29,379,733	3.28	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	34,118,667	3.8	0	
10.	Others	0	0	0	

	Total	63,498,400	7.08	0	0
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Total number of shareholders (other than promoters)

46

**Total number of shareholders (Promoters+Public/
Other than promoters)**

50

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	4	4
Members (other than promoters)	44	46
Debenture holders	1	1

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	2	0	1	0	0
B. Non-Promoter	1	2	1	3	1.77	0
(i) Non-Independent	1	0	1	0	1.77	0
(ii) Independent	0	2	0	3	0	0
C. Nominee Directors representing	0	3	0	3	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	3	0	3	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	7	1	7	1.77	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

11

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NEERAJ SWAROOP	00061170	Director	0	
AMOL KRISHNA JAIN	00334710	Director	0	
SUREKHA MARANDI	06952573	Director	0	
JOHN MESCALL	08385575	Nominee director	0	
JONATHAN TADEUSZ	08639243	Nominee director	0	
ARJUN SAKHUJA	08669224	Nominee director	0	
RAJESH MANNALAL A	00302467	Director	0	
ASEEM DHRU	01761455	Managing Director	15,918,167	
ASEEM DHRU	ACLPD2638J	CEO	15,918,167	
NARAYAN BARASIA	AECPB4669L	CFO	1,815,000	
JAY PRABHURAM MIS	AMHPM1670P	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

4

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
RAJEEV GUPTA	00241501	Director	18/07/2022	Cessation
SUREKHA MARANDI	06952573	Director	23/09/2022	Appointment
ROBIN BANERJEE	00008893	Director	15/10/2022	Appointment
ROBIN BANERJEE	00008893	Director	20/12/2022	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS**A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS**

Number of meetings held

5

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Extra Ordinary General Meeting	04/05/2022	48	6	95.03
Annual General Meeting	27/06/2022	50	12	96.1
Extra Ordinary General Meeting	23/09/2022	50	9	95.86
Extra Ordinary General Meeting	15/10/2022	50	8	95.21
Extra Ordinary General Meeting	20/02/2023	50	13	98.6

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	21/05/2022	8	7	87.5
2	03/08/2022	7	6	85.71
3	14/10/2022	8	8	100
4	03/11/2022	9	8	88.89
5	04/11/2022	9	9	100
6	14/11/2022	9	9	100
7	13/02/2023	8	8	100
8	20/03/2023	8	8	100

C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	21/05/2022	3	3	100
2	Audit Committee	03/08/2022	3	3	100
3	Audit Committee	03/11/2022	4	4	100

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
4	Audit Committee	14/11/2022	4	4	100
5	Audit Committee	13/02/2023	3	3	100
6	Audit Committee	20/03/2023	3	3	100
7	Nomination and Remuneration Committee	24/03/2023	3	3	100
8	CSR Committee	03/08/2022	4	4	100
9	CSR Committee	13/02/2023	3	3	100
10	Stakeholders Forum	24/03/2023	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	31/05/2023
								(Y/N/NA)
1	NEERAJ SWAMI	8	8	100	8	8	100	Yes
2	AMOL KRISHNA	8	8	100	3	3	100	No
3	SUREKHA MAHAJAN	6	6	100	6	6	100	No
4	JOHN MESCANTO	8	8	100	8	8	100	Yes
5	JONATHAN THOMAS	8	6	75	0	0	0	Yes
6	ARJUN SAKHAR	8	8	100	0	0	0	No
7	RAJESH MANI	8	7	87.5	3	3	100	No
8	ASEEM DHRU	8	8	100	3	3	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

1

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	ASEEM DHRU	Managing Director	24,246,612	0	29,148,965	41,009,904	94,405,481
	Total		24,246,612	0	29,148,965	41,009,904	94,405,481

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Narayan Barasia	CFO	14,999,172	0	6,519,539	7,284,653	28,803,364
2	Jay Prabhuram Mishra	Company Secretary	1,657,416	0	74,665	664,616	2,396,697
	Total		16,656,588	0	6,594,204	7,949,269	31,200,061

Number of other directors whose remuneration details to be entered

4

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	NEERAJ SWAROO	Independent Director	0	0	0	3,200,000	3,200,000
2	RAJESH MANNAL	Independent Director	0	0	0	1,100,000	1,100,000
3	SUREKHA MARAN	Independent Director	0	0	0	1,400,000	1,400,000
4	ROBIN BANERJEE	Independent Director	0	0	0	500,000	500,000
	Total		0	0	0	6,200,000	6,200,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Pratik Harshad Kalsariya

Whether associate or fellow

Associate Fellow

Certificate of practice number

12368

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

ASEEM DHRU
Digitally signed by ASEEM DHRU
Date: 2023.07.29 16:44:49 +05'30'

DIN of the director

01761455

To be digitally signed by

JAY PRABHURA M MISTRY
Digitally signed by JAY PRABHURAM MISTRY
Date: 2023.07.29 16:45:09 +05'30'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

SBFC - MGT 8 - 2022-final-.pdf
List of Shareholders Debenture holders as
Transfer of shares.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

**FORM NO. MGT 8**

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **SBFC FINANCE LIMITED** (having CIN: U67190MH2008PLC178270) ('the Company') as required to be maintained under the Companies Act, 2013 ('the Act') and the Rules made thereunder for the financial year ended on 31st March, 2023. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A.** the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately subject to reporting made hereunder in item B:
- B.** during the aforesaid financial year, the Company has generally complied with provisions of the Act and Rules made thereunder in respect of the followings subject to reporting made thereunder:
1. its status under the Act;
 2. maintenance of registers/records & making entries therein within the time prescribed thereof;
 3. filing of forms and returns with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time/ beyond prescribed time;
 4. calling/convening/holding meetings of Board of Directors and its committees, if any and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular

resolutions, and resolutions passed by postal ballot, if any, have been generally recorded in the Minute Book/registers maintained for the purpose and the same have been signed;

5. closure of Register of Members/Security holders as the case may be;
6. advance/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
7. contracts and arrangements with related parties as specified in Section 188 of the Act;
8. issue or allotment or transfer or transmission or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/securities or issue of security certificates in all instance;
9. keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. signing of audited financial statement as per the provisions of Section 134 of the Act and report of directors is generally complied with as per sub-section (3), (4) and (5) thereof;
12. constitution/ appointment/ reappointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of section 139 of the Act;
14. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act wherever applicable;
15. acceptance/ renewal/ repayment of deposits;

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;
17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;
18. alteration of provisions of the Memorandum and Articles of Association of the Company.

For **K PRATIK & ASSOCIATES**
Practicing Company Secretary

Date: 19.05.2023
Place Mumbai



Pratik Harshad Kalsariya
Proprietor
Membership No. ACS-33502
C.P. No. 12368
UDIN: A033502E000340897

* Note: Unique Document Identification Number (UDIN) is generated for this certificate and same is reported to the Institute of Company Secretaries of India and the UDIN is issued in accordance with the applicable provisions of the ICSI Unique Document Identification Number (UDIN) Guidelines, 2019.

List of Shareholders of SBFC Finance Limited as on 31st March 2023

Sr No.	Name of Shareholders	Dpid	Clid	Nominal Value per share	Shares Held	Paid up Capital	Class of Shares
1	SBFC HOLDINGS PTE.LTD.	IN300142	10792786	10/-	65,70,40,000	6,57,04,00,000	Equity
2	ARWOOD PARTNERS INVESTMENT ADVISORS LLP	IN301549	58104218	10/-	12,22,17,177	1,22,21,71,770	Equity
3	EIGHT45 SERVICES LLP	IN301549	58690241	10/-	2,42,72,805	24,27,28,050	Equity
4	MALABAR INDIA FUND LIMITED	IN300142	10751044	10/-	1,60,00,544	16,00,05,440	Equity
5	ASEEM DHRU	IN301151	13326062	10/-	1,59,18,167	15,91,81,670	Equity
6	ARWOOD CAPITAL PRIVATE LIMITED	IN301549	61583822	10/-	1,54,19,790	15,41,97,900	Equity
		IN305099	30006207	10/-	1,45,75,510	14,57,55,100	Equity
7	MALABAR SELECT FUND	IN300142	10751036	10/-	84,00,000	8,40,00,000	Equity
8	VISTRA ITCL (INDIA) LIMITED	IN300214	25488858	10/-	74,52,000	7,45,20,000	Equity
9	MAHESH KANYALAL DAYANI	IN300610	11149389	10/-	51,50,166	5,15,01,660	Equity
10	PANKAJ MAHAVIR PODDAR	IN303719	11019902	10/-	34,35,300	3,43,53,000	Equity
11	MALABAR MIDCAP FUND	IN300142	10767444	10/-	22,66,123	2,26,61,230	Equity
12	NARAYAN BARASIA	IN303028	58219690	10/-	18,15,000	1,81,50,000	Equity
13	DEEPAK KRISHNAKANT MUDALGIKAR	IN301549	18023929	10/-	9,82,100	98,21,000	Equity
14	MANU MAHAJAN	IN301151	22483597	10/-	4,51,000	45,10,000	Equity
15	ASHISH SINGH	IN301151	25513317	10/-	2,00,000	20,00,000	Equity
16	SAIPRASHANT MENON	IN301549	60802136	10/-	1,80,000	18,00,000	Equity
17	VINEY VAID	14301	1601430104705561	10/-	1,00,000	10,00,000	Equity
18	VINAY AGRAWAL	IN303719	10342886	10/-	1,00,000	10,00,000	Equity
19	SANKET MOHAN AGRAWAL	81600	1208160001565546	10/-	90,000	9,00,000	Equity
20	SARJEET SINGH DHAKA	IN300610	11124253	10/-	75,000	7,50,000	Equity
21	KIRAN KUMAR LADE	IN303028	86416547	10/-	70,000	7,00,000	Equity
22	RAKESH DUGAR	33200	1203320007116702	10/-	60,000	6,00,000	Equity
23	ISH CHAWLA	IN301151	24910617	10/-	60,000	6,00,000	Equity
24	VIKAS SINGH	IN302814	10646347	10/-	50,000	5,00,000	Equity
25	SHAILESHH NATH RANGA	81600	1208160004417096	10/-	40,000	4,00,000	Equity
26	DURGESH KUMAR	81600	1208160005792812	10/-	40,000	4,00,000	Equity
27	RAHUL RATHI	81600	1208160025929409	10/-	40,000	4,00,000	Equity
28	RAMA CHANDRA RAJU PENUMESTA	88800	1208880005888429	10/-	40,000	4,00,000	Equity
29	PEESAPATI PHANE KUMAR	IN300214	18029267	10/-	40,000	4,00,000	Equity
30	MAMIDI BHASKAR KUMAR	IN300513	22256416	10/-	40,000	4,00,000	Equity



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31	AMIT KUMAR JAIN	IN303028	25103558	10/-	40,000	4,00,000	Equity
32	SHASHI RANA	IN303028	66733413	10/-	40,000	4,00,000	Equity
33	AVISHEK KUMAR AGARWAL	IN303028	52046765	10/-	35,000	3,50,000	Equity
34	SHEKAR VEMPATI	IN300214	38656356	10/-	34,000	3,40,000	Equity
35	NAVRATAN SHARMA	IN301549	55995023	10/-	30,000	3,00,000	Equity
36	SIMRAN KAUR MATHARU	IN303028	26274677	10/-	30,000	3,00,000	Equity
37	JITENDRA BHARTI	IN304295	16375917	10/-	30,000	3,00,000	Equity
38	KAUSHAL KISHOR	88700	1208870088948630	10/-	25,000	2,50,000	Equity
39	JOYAL NADAR	IN301549	55446848	10/-	20,000	2,00,000	Equity
40	ARUP S BHATTACHARYA	IN302814	10641978	10/-	20,000	2,00,000	Equity
41	ANAND FALODIYA .	10900	1201090010441415	10/-	17,000	1,70,000	Equity
42	PRADEEP RATHI	10600	1201060003080181	10/-	14,000	1,40,000	Equity
43	RAHUL M MEHTA	33200	1203320010729397	10/-	10,000	1,00,000	Equity
44	AGNIVESH KUMAR	81600	1208160008051539	10/-	10,000	1,00,000	Equity
45	RHUTUJA GANESH PARAB	IN303028	25600896	10/-	10,000	1,00,000	Equity
46	AMIT SHARMA	IN303028	25541074	10/-	9,000	90,000	Equity
47	SUBHASH CHANDER SHARMA	IN303028	25576078	10/-	9,000	90,000	Equity
48	JAYESH ASHOK KEDARI	IN303028	26707177	10/-	9,000	90,000	Equity
49	AMIT KUMAR PERIWAL	IN301549	55102953	10/-	6,000	60,000	Equity
50	GOURAV VYAS	81600	1208160004984151	10/-	5,000	50,000	Equity
Total					89,70,23,682	8,97,02,36,820	

For **SBFC Finance Limited**
(Erstwhile SBFC Finance Private Limited)



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Jay Mistry
Company Secretary
Membership No. A34264
Registered Office: Unit 103, C&B Square,
Sangam Carnival Cinemas,
Chakala, Andheri East,
Mumbai - 400059



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List of Debenture holders of SBFC Finance Limited as on 31st March 2023

Sr. No.	Name of Debenture Holder	Address of Debenture Holder	Class of Debenture Holder	Nominal value per debenture	Demat A/c No.	Number of Debentures held
1	AU Small Finance Bank Limited	Corporate House Block 5 th Floor Kanakia Zillion Junction of LBS and CST Road Kurla West, Mumbai 400070	Secured, Rated, Listed, Redeemable, Non Convertible Debentures (ISIN: INE423Y07062)	Rs. 10,00,000/-	IN300484/ 29626737	430

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Details of Transfer of Shares during the Financial Year ended 31 March, 2023

Sr No.	Name of the Transferor	Name of the Transferee	Residential Status of the Transferor	Residential Status of the Transferee	Number of Shares/Debenture/units transferred	Transfer price (Rs.) (incl premium)	Amount per share/Debenture/Unit (in Rs.) face value	Type of transfer	Date of registration of transfer	Ledger Folio of Transferor	Ledger Folio of Transferee	Consideration (Rs.)
1	Aseem Dhru	Malabar India Fund Limited	Resident	Non – Resident	5,05,833	60	10	Equity	20.05.2022	--	--	3,03,49,980
2	Mahesh Dayani	Malabar India Fund Limited	Resident	Non – Resident	4,94,201	60	10	Equity	20.05.2022	--	--	2,96,52,060
3	Mahesh Dayani	Malabar Midcap Fund	Resident	Resident	11,633	60	10	Equity	20.05.2022	--	--	6,97,980
4	Mr. Avishek Agarwal	Malabar Midcap Fund	Resident	Resident	25,000	60	10	Equity	20.05.2022	--	--	15,00,000
5	Mr. Kiran Kumar Lade	Malabar Midcap Fund	Resident	Resident	30,000	60	10	Equity	20.05.2022	--	--	18,00,000
6	Mr. Ashish Singh	Malabar Midcap Fund	Resident	Resident	75,000	60	10	Equity	20.05.2022	--	--	45,00,000
7	Mr. Ashish Singh	Malabar Select Fund	Resident	Non – Resident	2,25,000	60	10	Equity	20.05.2022	--	--	1,35,00,000
8	Mr. Ish Chawla	Malabar Select Fund	Resident	Non – Resident	3,00,000	60	10	Equity	20.05.2022	--	--	1,80,00,000



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SBFC Finance Limited

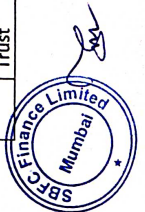
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Sr No.	Name of the Transferor	Name of the Transferee	Residential Status of the Transferor	Residential Status of the Transferee	Number of Shares/ Debenture units transferred	Transfer price(Rs.) (incl premium)	Amount per share/ Debenture Unit (in Rs.) face value	Type of transfer	Date of registration of transfer	Ledger Folio of Transferor	Ledger Folio of Transferee	Consideration (Rs.)
9	SBFC Employee Welfare Trust (Trustee - Vistra (ITCL) India Limited)	Nadar Joyal Ponraj	Resident	Resident	20,000	12.50	10	Equity	19.05.2022	--	--	2,50,000
10	SBFC Employee Welfare Trust (Trustee - Vistra (ITCL) India Limited)	Vikas Singh	Resident	Resident	7,500	12.50	10	Equity	19.05.2022	--	--	93,750
11	SBFC Employee Welfare Trust (Trustee - Vistra (ITCL) India Limited)	Amit Sharma	Resident	Resident	1,500	12.50	10	Equity	19.05.2022	--	--	18,750
12	SBFC Employee Welfare Trust	Subhash Chander Sharma	Resident	Resident	1,500	12.50	10	Equity	19.05.2022	--	--	18,750



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Sr No.	Name of the Transferor	Name of the Transferee	Residential Status of the Transferor	Residential Status of the Transferee	Number of Shares/Debenture/units transferred	Transfer price(Rs.) (incl premium)	Amount per share/Debenture/Unit (in Rs.) face value	Type of transfer	Date of registration of transfer	Ledger Folio of Transferor	Ledger Folio of Transferee	Consideration (Rs.)
13	(Trustee – Vistra (ITCL) India Limited) SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Jayesh Ashok Kedari	Resident	Resident	1,500	12.50	10	Equity	19.05.2022	--	--	18,750
14	SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Pankaj Poddar	Resident	Resident	1,60,000	12.50	10	Equity	19.05.2022	--	--	20,00,000
15	SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Gourav Vyas	Resident	Resident	5,000	12.50	10	Equity	19.05.2022	--	--	62,500



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Sr No.	Name of the Transferor	Name of the Transferee	Residential Status of the Transferor	Residential Status of the Transferee	Number of Shares/Debenture/units transferred	Transfer price(Rs.) (incl premium)	Amount per share/Debenture/Unit (in Rs.) face value	Type of transfer	Date of registration of transfer	Ledger Folio of Transferor	Ledger folio of Transferee	Consideration (Rs.)
16	SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Sai Prashant Prabhakar Menon	Resident	Resident	30,000	12.50	10	Equity	19.05.2022	--	--	3,75,000
17	SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Sanket Agrawal	Resident	Resident	15,000	12.50	10	Equity	19.05.2022	--	--	1,87,500
18	SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Vempati Shekar	Resident	Resident	6,000	12.50	10	Equity	19.05.2022	--	--	75,000
19	SBFC Employee Welfare	Narayan Barasia	Resident	Resident	1,70,000	12.50	10	Equity	19.05.2022	--	--	21,25,000



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20	Trust (Trustee – Vistra (ITCL) India Limited)	Arup Bhattacharya	Resident	Resident	3,000	12.50	10	Equity	19.05.2022	--	--	37,500
21	SBFC Employee Welfare Trust (Trustee – Vistra (ITCL) India Limited)	Amit Kumar Periwai	Resident	Resident	1,000	12.50	10	Equity	19.05.2022	--	--	12,500
22	SBFC Employee Welfare Trust (Trustee – Vistra	Rahul Madhukant Mehta	Resident	Resident	1,500	12.50	10	Equity	19.05.2022	--	--	18,750



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	(ITCL) India Limited)											
23	Mr. Aseem Dhru	SBFC Holdings Pte. Ltd.	Resident	Non – Resident	40,00,000	60	10	Equity	20.09.2022	--	--	24,00,00,000
24	Mr. Mahesh Dayani	SBFC Holdings Pte. Ltd.	Resident	Non – Resident	30,00,000	60	10	Equity	20.09.2022	--	--	18,00,00,000

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